



#4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application ) PATENT APPLICATION  
Inventor(s): Alfred D. Roeske; )  
Jerome C. Bertrand )  
SC/Serial No.: 09/755,644 )  
Filed: January 6, 2001 )  
Title: Non Sooting Paraffin )  
Containing Candle )

VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS  
37 C.F.R. 1.9(f) & 1.27(c) - SMALL BUSINESS CONCERN

I hereby declare that I am:

       The owner of the small business concern identified below.

  x   An official of the small business concern identified immediately below and am empowered to act on behalf of that concern.

Name: CleanWax, LLC

Address: 1033-B Wilson St., Eugene, OR 97402

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 C.F.R. Section 121.12, and reproduced in 37 C.F.R. Section 1.9(d), for purposed of paying reduced fees under Section 41(a)-(b) of Title 35 U.S.C. in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third-party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified below with regard to the invention identified by the above TITLE and INVENTOR(S), and described in:

       the Specification filed herewith  
  x   the Application having the above SC/Serial No. and Filed date.  
       Patent No.                      issued

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 C.F.R. Section 1.9(c) or by any concern which would not qualify as a small business concern under 37 C.F.R. Section 1.9(d) or by a nonprofit organization under 37 C.F.R. Section 1.9(d).

☒ no such person, concern, or organization

Name: \_\_\_\_\_

Address: \_\_\_\_\_

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss or entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date of which status as a small business entity is longer appropriate. (37 C.F.R. Section 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signor's Name: Rick Brown

Signor's Title: President

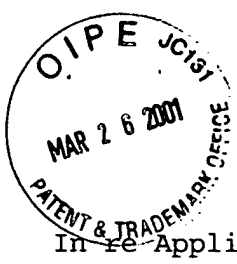
Signor's Address: CleanWax, LLC

1033-B, Wilson St.,

Eugene, OR 97402

Signature: *Rick Brown*

Date: 3/20/01



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	)	
Inventor(s): Alfred D. Roeske;	)	
Jerome C. Bertrand	)	
	)	
SC/Serial No.: 09/755,644	)	
	)	
Filed: January 6, 2001	)	
	)	
Title: Non Sooting Paraffin	)	
Containing Candle	)	
	)	

DECLARATION FOR PATENT APPLICATION

As a below named inventor I hereby declare that my residence, post office address and citizenship are as stated below next to my name; I believe that I am the original, first and sole inventor (if one name is listed below), first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

NON SOOTING PARAFFIN CONTAINING CANDLE

the specification of which (check appropriate box):

\_\_\_\_\_ is attached hereto;

  x   was filed with the above-identified "Filed" date and "SC/Serial No."

\_\_\_\_\_ was amended on (or amended through) \_\_\_\_.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above. I acknowledge the duty to disclose information which is material to the examination of the application in accordance with Title 37, Code of Federal Regulations, Section 1.56.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

(1) Full name of first inventor: Alfred D. Roeske

(1) Residence: 29821 Jeans Road  
Veneta, OR 97487

(1) Post Office Address: same as above

(1) Citizenship: United States of America

(1) Inventor's Signature: 

(1) Date: 11-4-2000

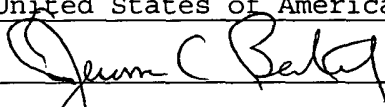
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(2) Full name of second inventor: Jerome C. Bertrand

(2) Residence: 1150-A Pine Street  
Menlo Park, CA 94025

(2) Post Office Address: same as above

(2) Citizenship: United States of America

(2) Inventor's Signature: 

(2) Date: February 8, 2001

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POWER OF ATTORNEY BY ASSIGNEE UNDER  
37 C.F.R. Sections 3.71, 3.73

Commissioner of Patents & Trademarks  
Washington, DC 20231

Sir or Madam:

The below-identified Assignee hereby appoints Steven J. Adamson, Reg. No. 32,776, to prosecute this application and transact all business in the United States Patent & Trademark Office connected therewith; said appointment to be to the exclusion of the inventor and the inventor's attorneys in accordance with the provisions of 37 C.F.R. Section 3.71.

Pursuant to 37 C.F.R. Section 3.73(b), the undersigned certifies that Assignee is the owner of the entire right, title and interest in the above-identified patent application by virtue of an assignment from the inventor(s) to Assignee and that,

X a true copy of the assignment is attached hereto, the original of which has been (or is herewith) forwarded to the United States Patent and Trademark Office for recording.

The assignment has been reviewed and to the best of the undersigned's knowledge and belief, title to the above-identified patent application is in Assignee. The undersigned (whose title is supplied below) is empowered to sign this certification on behalf of Assignee.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Please direct all correspondence and telephone calls to:

Steven J. Adamson, PC  
P.O. Box 5997  
Portland, OR 97228  
Tel: 503.231.7644  
Fax: 503.231.7786

Assignee: CleanWax, LLC  
Assignee Type: Corporation  
Signor's Name: Rick Brown  
Signor's Title: President  
Signature: *Rick Brown* Date: 3/20/01